# Computing Generator in Cyclotomic Integer Rings A subfield algorithm for the Principal Ideal Problem in $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$ and application to the cryptanalysis of a FHE scheme 

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- Two distinct phases:
(1) Given the $\mathbb{Z}$-basis of the ideal $\mathfrak{a}=\langle\boldsymbol{g}\rangle$, find a - not necessarily short - generator $\boldsymbol{g}^{\prime}=\boldsymbol{g} \cdot \boldsymbol{u}$ for a unit $\boldsymbol{u}$.
(2) From $g^{\prime}$, find a short generator of the ideal.


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(2) From $\boldsymbol{g}^{\prime}$, find a short generator of the ideal.

Campbell, Groves, and Sheperd (2014) found a solution in polynomial time for the second point for power-of-two cyclotomic fields.
Cramer, Ducas, Peikert, and Regev (2016) provided a proof and an extension to prime-power cyclotomic fields.

## FHE scheme - Smart and Vercauteren PKC 2010

## Key Generation:

(1) Fix the security parameter $N=2^{n}$.
(2) Let $F(X)=X^{N}+1$ be the polynomial defining the cyclotomic field $\mathbb{K}=\mathbb{Q}\left(\zeta_{2 N}\right)$.
(3) Set $G(X)=1+2 \cdot S(X)$, for $S(X)$ of degree $N-1$ with coefficients in $\left[-2^{\sqrt{N}}, 2^{\sqrt{N}}\right]$, such that the norm $\mathcal{N}\left(\left\langle G\left(\zeta_{2 N}\right)\right\rangle\right)$ is prime.
(9) Set $\boldsymbol{g}=G\left(\zeta_{2 N}\right) \in \mathcal{O}_{\mathbb{K}}$.
(3) Return the secret key sk $=\boldsymbol{g}$ and the public key $\mathrm{pk}=\mathrm{HNF}(\langle\boldsymbol{g}\rangle)$.

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(3) Return the secret key $\mathrm{sk}=\boldsymbol{g}$ and the public key $\mathrm{pk}=\operatorname{HNF}(\langle\boldsymbol{g}\rangle)$.

Our goal: Recover the secret key from the public key.

## Outline of the algorithm

(1) Perform a reduction from the cyclotomic field to its totally real subfield, allowing to work in smaller dimension.
(2) Then a $\mathfrak{q}$-descent makes the size of involved ideals decrease.
(3) Collect relations and run linear algebra to construct small ideals and a generator.
(c) Eventually run the derivation of the short generator from a bigger one.

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All the complexities are expressed as a function of the field discriminant $\Delta_{\mathbb{Q}\left(\zeta_{2 N}\right)}=N^{N}$, for $N=2^{n}$. For instance,

$$
L_{\left|\Delta_{\mathbb{K}}\right|}(\alpha)=2^{N^{\alpha+o(1)}}
$$

## 1. Reduction to the totally real subfield

Goal: Halving the dimension of the ambient field
Gentry-Szydlo algorithm:

- Input: a $\mathbb{Z}$-basis of $\mathcal{I}=\langle\boldsymbol{u}\rangle$ and $\boldsymbol{u} \cdot \overline{\boldsymbol{u}}$
- Output: the generator $\boldsymbol{u}$


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Gentry-Szydlo algorithm:

> Polynomial complexity

- Input: a $\mathbb{Z}$-basis of $\mathcal{I}=\langle\boldsymbol{u}\rangle$ and $\boldsymbol{u} \cdot \overline{\boldsymbol{u}}$
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Problem: no information about $\boldsymbol{g} \cdot \overline{\boldsymbol{g}} \quad(\boldsymbol{g}$ is the private key)

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$\mathbb{Z}$-basis of $\langle\boldsymbol{g}\rangle \Longrightarrow \mathbb{Z}$-basis of $\langle\boldsymbol{u}\rangle$ and $\boldsymbol{u} \cdot \overline{\boldsymbol{u}}=\mathcal{N}(\boldsymbol{g})^{2}$

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In the end, we get $\boldsymbol{g} \cdot \overline{\boldsymbol{g}}^{-1}$ and a $\mathbb{Z}$-basis of
$\mathcal{I}^{+}=\langle\boldsymbol{g}+\overline{\boldsymbol{g}}\rangle \subset \mathbb{Q}\left(\zeta+\zeta^{-1}\right)$

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$\mathbb{Z}$-basis of $\langle\boldsymbol{g}\rangle \Longrightarrow \mathbb{Z}$-basis of $\langle\boldsymbol{u}\rangle$ and $\boldsymbol{u} \cdot \overline{\boldsymbol{u}}=\mathcal{N}(\boldsymbol{g})^{2}$
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$\mathcal{I}^{+}=\langle\boldsymbol{g}+\overline{\boldsymbol{g}}\rangle \subset \mathbb{Q}\left(\zeta+\zeta^{-1}\right)$
Once we have a generator for $\mathcal{I}^{+}$, we get one for $\mathcal{I}$ by multiplying by

$$
\frac{1}{1+\overline{\boldsymbol{g}} \cdot \boldsymbol{g}^{-1}}
$$

## 2. The $\mathfrak{q}$-descent

$$
\begin{aligned}
& \mathcal{I}^{+}=\mathfrak{a}^{0}
\end{aligned}
$$

$$
\begin{aligned}
& \underset{\mathfrak{a}_{1}^{2}}{ } \quad /{ }_{\mathfrak{a}_{2}^{2}} \quad \backslash \mathfrak{a}_{n_{2}}^{2}
\end{aligned}
$$

$$
\begin{aligned}
& \text { ! } \\
& \text { | } \\
& \mathfrak{a}^{l-1} \\
& \underset{\mathfrak{a}_{1}^{l}}{ } \quad / \mathfrak{a}_{2}^{l} \quad \cdots \mathfrak{a}_{n_{l}}^{l}
\end{aligned}
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& \\
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## Input ideal - Norm arbitrary large

Initial reduction - Norm: $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{3}{2}\right)$

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Initial reduction $-L_{\left|\Delta_{\mathbb{K}}\right|}(1)$-smooth

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\end{aligned}
$$

Input ideal - Norm arbitrary large

Initial reduction $-L_{\left|\Delta_{\mathbb{K}}\right|}$ (1)-smooth

First step - Norm: $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{5}{4}\right)$

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Input ideal - Norm arbitrary large

Initial reduction $-L_{\left|\Delta_{\mathbb{K}}\right|}(1)$-smooth

First step $-L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{3}{4}\right)$-smooth

Second step - Norm: $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{9}{8}\right)$

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Last but one step - Norm: $\approx L_{\left|\Delta_{\mathbb{K}}\right|}(1)$

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Second step - $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{5}{8}\right)$-smooth

Last but one step - $\approx L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$-smooth

Last step - Norm: $L_{\left|\Delta_{\mathbb{K}}\right|}(1)$

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Second step - $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{5}{8}\right)$-smooth

Last but one step $-\approx L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$-smooth

Last step $-L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$-smooth

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Output: small vector $\longleftrightarrow$ algebraic integer $\boldsymbol{v} \in \mathfrak{a}$ $\Longrightarrow$ ideal $\mathfrak{b} \subset \mathcal{O}_{\mathbb{K}^{+}}$s.t. $\langle\boldsymbol{v}\rangle=\mathfrak{a} \cdot \mathfrak{b}$ and

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Cost: $\quad$ DBKZ-reduction $\Rightarrow \operatorname{Poly}(N, \log \mathcal{N}(\mathfrak{a})) \cdot L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$

## Smoothness tests \& Randomization

## Heuristic

We assume that the probability $\mathcal{P}$ that an ideal of norm bounded by $L_{\left|\Delta_{\mathbb{K}}\right|}(a)$ is a power-product of prime ideals of norm bounded by $B=L_{\left|\Delta_{\mathbb{K}}\right|}(b)$ satisfies

$$
\mathcal{P} \geq L_{\left|\Delta_{\mathrm{K}}\right|}(a-b)^{-1} .
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Using ECM algorithm, each $B$-smoothness test costs $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{b}{2}\right)$.

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Conclusion: $\mathfrak{b}$ is $L_{\left|\Delta_{\mathbb{K}}\right|}(1)$-smooth with probability $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)^{-1}$ and one test costs $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$.

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$\Longrightarrow$ We use $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$ ideals $\tilde{\mathfrak{a}}=\mathfrak{a} \prod \mathfrak{p}_{i}^{e_{i}}$ for small prime ideals $\mathfrak{p}_{i}$ and integers $e_{i}$ to be sure to derive one $\tilde{\mathfrak{b}}$ that is $L_{\left|\Delta_{\mathbb{K}}\right|}(1)$-smooth.

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- Compute the HNF of the integral lattice
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Output: algebraic integer $\boldsymbol{v} \in \mathfrak{a}$ and ideal $\mathfrak{b} \subset \mathcal{O}_{\mathbb{K}^{+}}$s.t. $\langle\boldsymbol{v}\rangle=\mathfrak{a} \cdot \mathfrak{b}$ and

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$$

Cost: $\quad L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$ for lattice reduction \& smoothness tests

### 2.3. The $\mathfrak{q}$-descent - The final step

After $l-1$ steps, ideals have norm below $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}+\frac{1}{2^{l}}\right)$.

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- The total runtime of the $\mathfrak{q}$-descent is $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$.


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Norm below $L_{\left|\Delta_{\mathbb{K}}\right|}(1) \Longrightarrow L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$-smooth ideals in $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$.

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$$
\left(\begin{array}{c}
\boldsymbol{v}_{1} \\
\boldsymbol{v}_{2} \\
\vdots \\
\boldsymbol{v}_{Q|\mathcal{B}|}
\end{array}\right) \stackrel{\rightarrow}{\rightarrow}\left(\begin{array}{ccc}
M_{1,1} & \cdots & M_{1,|\mathcal{B}|} \\
M_{2,1} & \cdots & M_{2,|\mathcal{B}|} \\
\vdots & & \vdots \\
M_{Q|\mathcal{B}|, 1} & \cdots & M_{Q|\mathcal{B}|,|\mathcal{B}|}
\end{array}\right) \Longrightarrow \forall i,\left\langle\boldsymbol{v}_{i}\right\rangle=\prod_{j=1}^{|\mathcal{B}|} \mathfrak{p}_{j}^{M_{i, j}}
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- A solution $X$ of $M X=Y$ provides a generator of the product of the $L_{\left|\Delta_{\mathbb{K}}\right|}\left(\frac{1}{2}\right)$-smooth ideals


## Implementation results

PARI-GP and fplll for BKZ-reductions - Intel(R) Xeon(R) CPU E3-1275 v3 @ 3.50 GHz with 32 GB of memory
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We recover $\boldsymbol{g} \cdot \zeta^{i}$ — and so the secret key $\boldsymbol{g}$ - in less than a day.

## Thank you

